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Turning research into action | De la recherche à l'action



where is research impact?

- Funders
- Donors
- Projects
- Networks
- Commercialization
- Entrepreneurship
- Bibliometrics

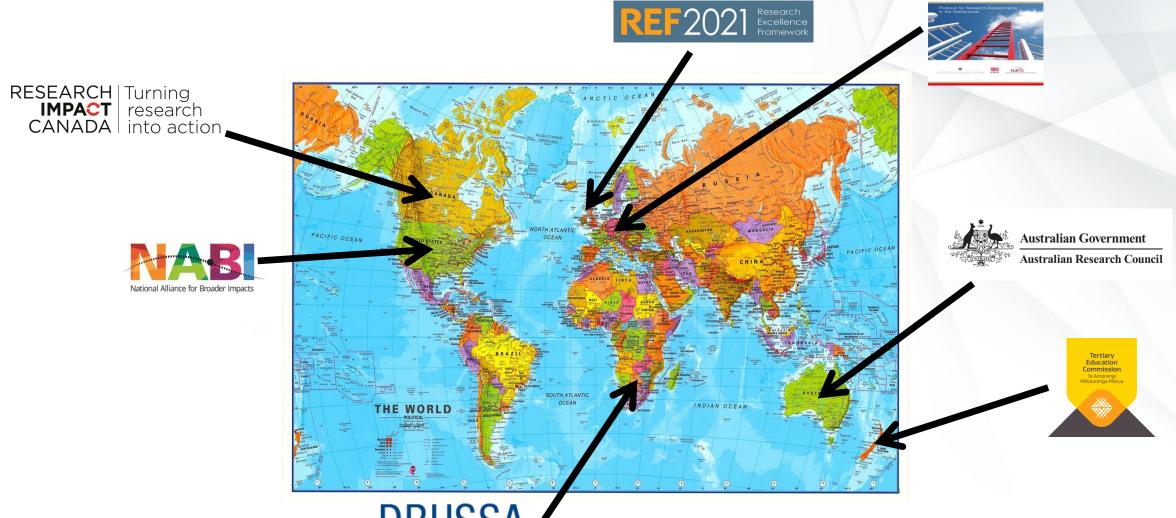






some examples of impact systems





MISSION DRIVEN

DRUSSA

Development Research Uptake in Sub-Saharan Africa

ASSESSMENT DRIVEN





Performance Based Research Fund (2018 assessment year)

Research outputs: 70%

Research Contributions: 30%

- Maximum 15 examples of
 - Peer esteem
 - Contributions to the discipline/environment (i.e. grad student supervision)
 - Funding
 - Awards/prizes
 - Capacity building
 - Peer review
 - Invitations to present
 - Outreach & engagement (narrative)
 - Uptake & impact (narrative)

2007: PBRF allocated \$231M







1. Excellence in Research for Australia (2018 assessment year)

2. Engagement and Impact Assessment (2018 assessment year)

- a) Engagement
 - a) Cash support from research end-users (specified HERDC* Category 1 and Categories 2, 3, and 4).
 - b) HERDC research income (specified Category 1 and Categories 2, 3, and 4) per FTE.
 - c) Proportion of specified HERDC Category 1 grants to total HERDC Category 1
 - i. grant amount
 - ii. number of grants
 - d) Research commercialisation income
- b) Impact
 - a) qualitative information in the form of impact studies, supplemented with quantitative information if relevant (impact and approach to impact are rated separately)
 - a) the impact of the research (case study)
 - b) the approach to impact



2013 ERA cost \$34.5M reviewing \$8.7B research invested during assessment period but no financial return from assessment







The research of **154**UK universities was assessed



They made **1,911** submissions including:

- **52,061** academic staff
- 191,150 research outputs
- 6,975 impact case studies

The **overall quality** of submissions was judged, on average to be:

30% world-leading (4*)

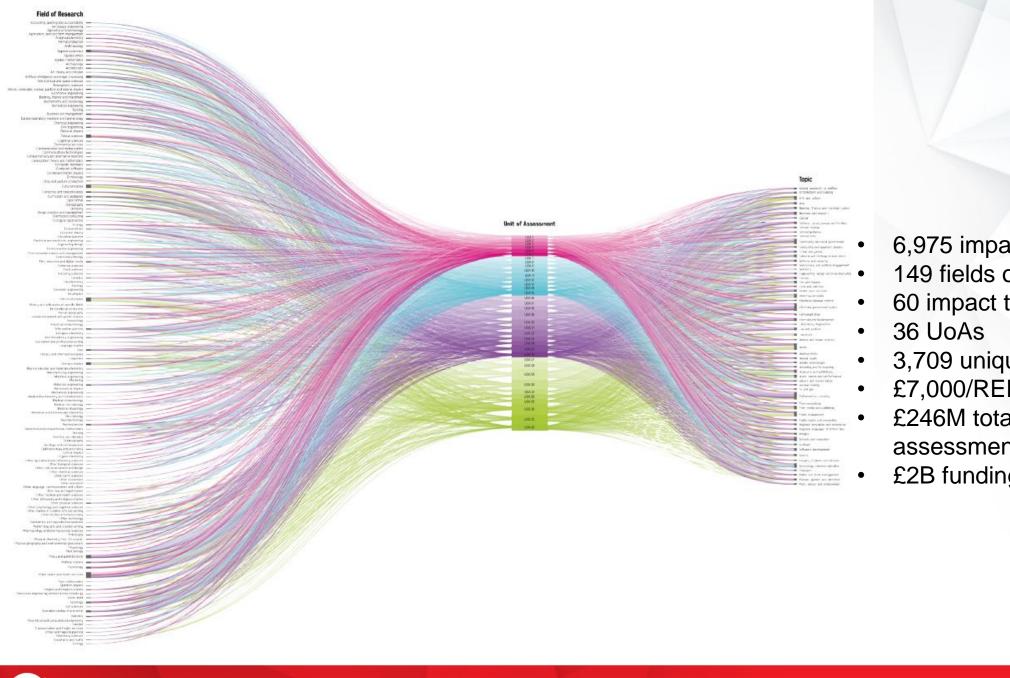
46% internationally excellent (3*)

20% recognised internationally (2*)

3% recognised nationally (1*)









- 6,975 impact case studies
- 149 fields of research
- 60 impact topics
- 3,709 unique pathways to impact
- £7,000/REF submission (estimate)
- £246M total cost (£55M for panel assessment)
- £2B funding allocated





| Impact | case | study | (REF3b) |
|--------|------|-------|---------|
|--------|------|-------|---------|





| • | Research Excellence Framework |
|--|-------------------------------|
| Institution: | |
| Unit of Assessment: | |
| Title of case study: | |
| 1. Summary of the impact (indicative maximum 100 words) | |
| 2. Underpinning research (indicative maximum 500 words) | |
| 3. References to the research (indicative maximum of six references) | |
| 4. Details of the impact (indicative maximum 750 words) | |
| 5. Sources to corroborate the impact (indicative maximum of 10 references) | |
| | |









A globally leading network that enables researchers and their partners to <u>demonstrate</u> contribution to and impact of research excellence









Standard Evaluation Protocol 2015 – 2021







Australian Government

Australian Research Council









RESEARCH | RÉSEAU IMPACT | RECHERCHE | CANADA | CANADA |

nstitution:

Title of case study:

- Summary of the impact (briefly describing the impact of the research beyond the academy, see guidelines)
- 2. Contribution of research and/or expertise (describe the academic and non-academic research and/or expertise that underpins the impact, see guidelines)
- **3. References to the research and/or expertise** (maximum of six references that describe the underlying research and/or expertise)
- **4. Details of the impact** (describe in detail the nature, extent, reach, sustainability, stakeholders and beneficiaries of the impact summarized in #1 above)
- **5. Sources to corroborate the impact** (how do you know this impact occurred cite data sources, interviews with stakeholders and researchers, see guidelines)
- 6. Role of knowledge mobilization contributing to the impact (describe the activities andertaken by the RIR institution to support, communicate and/or enhance the impacts)









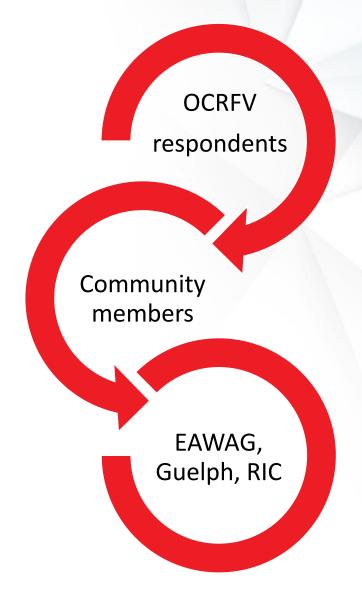
Interview questions (from contribution analysis)

- **1. Context:** a) History; b) Role; c) Inputs; d) were these resources adequate; e) who had control over these resources?
- 2. Responsibility: a) Activities; b) Responsibility; c) Other roles?
- **3. Engagement/involvement:** a) People; b) How were you engaged; c) Gaps; d) Others to engage; e) Others what if they had been engaged?
- 4. Awareness/reaction: a) Aims; b) Beliefs; c) Reaction
- **5. Capacity/knowledge/skills:** a) What capacity/skills did you need; b) did you have the resources to do so?
- 6. Changes: a) For you; b) More broadly; c) Unanticipated
- 7. Impact: a) longer term change; b) Attribution other factors involved
- **8. Next Steps:** a) Current state are you still engaged in the project; b) Where to from here?





- 4 interviews
- 15 hours (not including transcription)
- Approx. \$1,500 (vs £7,000/REF submission)



















prospectively





















